### ARTICLES

# A Genomic Perspective on Protein Families

Roman L. Tatusov, Eugene V. Koonin,\* David J. Lipman

In order to extract the maximum amount of information from the rapidly accumulating genome sequences, all conserved genes need to be classified according to their homologous relationships. Comparison of proteins encoded in seven complete genomes from five major phylogenetic lineages and elucidation of consistent patterns of sequence similarities allowed the delineation of 720 clusters of orthologous groups (COGs). Each COG consists of individual orthologous proteins or orthologous sets of paralogs from at least three lineages. Orthologs typically have the same function, allowing transfer of functional information from one member to an entire COG. This relation automatically yields a number of functional predictions for poorly characterized genomes. The COGs comprise a framework for functional and evolutionary genome analysis.

The release in 1995 of the complete ge<sup>①</sup> nome sequence of the bacterium Haemophikus influenzae (1), followed within the next 1.5 years by four more bacterial genomes (2), one archaeal genome (3), and one ge<sup>①</sup> nome of a unicellular eukaryote (4), marked the advent of a new age in biology. The hallmark of this era is that comparisons between complete genomes are becoming an indispensable component of our under <sup>①</sup> standing of a variety of biological phenom <sup>①</sup> ena. The number of sequenced genomes is expected to grow exponentially for at least the next few years, and conceivably, their impact on biology will further increase (5).

Knowing the inventory of conserved genes responsible for housekeeping funcÛ tions and understanding the differences in the genetic basis of these functions in difÛ ferent phylogenetic lineages is central to understanding life itself, at least at the level of a single cell. Complete sequences are indispensable for achieving this goal be U cause they hold the only type of informa () tion that can be used to delineate the com $\hat{U}$ plete network of relationships between genes from different genomes. Furthermore, only with complete genome sequences is it possible to ascertain that a particular proU tein implicated in an essential function is not encoded in a given genome. According Û ly, an alternative protein for the respective function should be sought among the funcÛ tionally unassigned gene products (6). With multiple genome sequences, it is possible to delineate protein families that are highly conserved in one domain of life but are missing in the others. Such information may be critically important: For example,

The authors are with the National Center for Biotechnology Information, National Library of Medicine, National Institutes of Health, Bethesda, MD 20894, USA.

the families that are conserved among bacÛ teria but are missing in eukaryotes comprise the pool of potential targets for broad specÛ trum antibiotics.

The knowledge of all of the gene seÛ quences from multiple complete genomes redefines the problem of gene classification. It becomes feasible to replace the more or less arbitrary clustering of genes by similarÛ ity with a complete, consistent system in which the groups are likely to have evolved from a single ancestral gene. Such a natural classification of genes will provide a frameÛ work for evolutionary studies and for rapid, largely automatic functional annotation of newly sequenced genomes. This framework will evolve and improve with increasing coverage of the diversity of life forms with complete genome sequences. It is critical to have this system in place while the number of completed genomes is still small and each family can be explored individually. Here we describe a prototype of a natural system of gene families from complete genomes.

### Orthologs and Paralogs: Deriving Clusters of Orthologous Groups

The relationships between genes from difÛ ferent genomes are naturally represented as a system of homologous families that in Q clude both orthologs and paralogs. OrÛ thologs are genes in different species that evolved from a common ancestral gene by speciation; by contrast, paralogs are genes related by duplication within a genome (7). Normally, orthologs retain the same func U tion in the course of evolution, whereas paralogs evolve new functions, even if reÛ lated to the original one. Thus, identifica $\hat{\mathbb{Q}}$ tion of orthologs is critical for reliable preÛ diction of gene functions in newly seÛ quenced genomes. It is equally important for phylogenetic analysis because interpretÛ

able phylogenetic trees generally can be constructed only within sets of orthologs (8). A complete list of orthologs also is a prerequisite for any meaningful comparison of genome organization (9).

A naïve operational definition would simply maintain that for a given gene from one genome, the gene from another genome with the highest sequence similarity is the ortholog. Given the complete genome se Û quences, this straightforward approach of Û ten gives credible results, especially when the compared species are not too distant phylogenetically (9). At larger phylogenetic distances, however, the situation becomes more complicated. If gene duplications oc Û curred in each of the given two clades subÛ sequent to their divergence, only a many Û to chany relationship will adequately de U scribe orthologs, and accordingly, detection of the highest similarity will not result in the identification of the complete set of orthologs. In addition, when the best hit is not highly significant statistically, which is common in the case of phylogenetically distant relationships (10), it simply may be spurious. On the other hand, artempts to apply a restrictive similarity cutoff are likely to result in a number of orthologs being

Given the existence of one to thany and many to thany orthologous relationships, we redefined the task of identifying or thologs as the delineation of clusters of orthologous groups (COGs). Each COG consists of individual orthologous genes or orthologous groups of paralogs from three or more phylogenetic lineages. In other words, any two proteins from different lineages that belong to the same COG are orthologs. Each COG is assumed to have evolved from an individual ancestral gene through a set ries of speciation and duplication events.

In order to delineate the COGs, all pair Û wise sequence comparisons among the 17,967 proteins encoded in the seven  $com \hat{U}$ plete genomes were performed (11), and for each protein, the best hit (BeT) in each of the other genomes was detected. The iden U tification of COGs was based on consistent patterns in the graph of BeTs. The simplest and most important of such parterns is a triangle, which typically consists of or Û thologs (Fig. 1A). Indeed, if a gene from one of the compared genomes has BeTs in two other genomes, it is highly unlikely that the respective genes are also BeTs for one another unless they are bona fide orthologs (12). The consistency between BeTs resultÛ ing in triangles does not depend on the absolute level of similarity between the compared proteins and thus allows the deÛ tection of orthologs among both slowly and quickly evolving genes. This approach is most likely to be informative when the

<sup>\*</sup>To whom requests for reprints should be addressed. E-mail: koonin@ncbi.nim.nih.gov

## BEST AVAILABLE COPY

BeTs forming a triangle come from widely different lineages. Accordingly, only five major, phylogenetically distant clades were used as independent contributors to COGs: Gram thegative bacteria (Escherichia coli and H. influenzae), Gram thositive bacteria (Mycoplasma genitalium and M. pneumoniae), Cyanobacteria (Synechocystis sp.), Archaea (Euryarchaeota) (Methanococcus jannaschii), and Eukarya (Fungi) (Saccharomyces cerevisiae) (13).

The procedure used to derive COGs in Û cluded finding all triangles formed by BeTs between the five major clades and merging those triangles that had a common side until no new ones could be joined. A triÛ angle is an elementary, minimal COG (Fig. 1A). The groups produced by merging ad Û jacent triangles include orthologs from difÛ ferent lineages and, in many cases, paralogs from the same lineage (Fig. 1, B and C). Because of the existence of paralogs, the BeTs that form the triangles are not neces Û sarily symmetrical: For example, in the COG shown in Fig. 1C, the same M. geniralium protein, MGZ49, is the BeT for four

paralogous  $\sigma$  subunits of E.  $\infty li$  RNA poly $\hat{U}$  merase, but only for one of them, RpoD, is the relationship symmetrical.

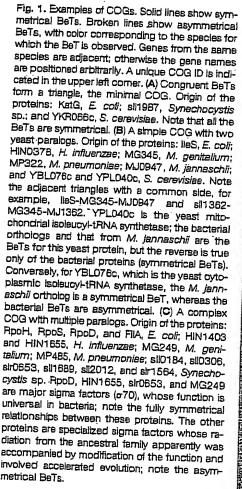
Most of the clusters derived by the above procedure meet the definition of a COG, that is, all of the proteins from the different lineages in the same cluster are likely to be orthologs. There are, however, several reaû sons why, in certain cases, COGs may be lumped together. Proteins may contain two or more distinct regions, each of which belongs to a different conserved family; usuU ally such proteins are loosely referred to as multidomain (14). Each of the clusters was inspected for the presence of multidomain proteins, individual domains were isolated (15), and a second iteration of the sequence comparison was performed with the result  $\hat{\mathsf{U}}$ ing database of domains. Some of the COGs may include proteins from different lineages that are paralogs rather than orthologs, priU marily because of differential gene loss in the major phylogenetic lineages. When one gene in a pair of paralogs is lost in one lineage but not in the others, two COGs that should have been distinct may be artiU

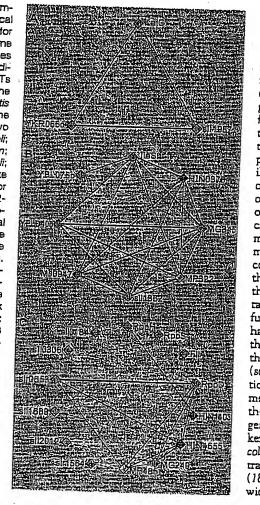
ficially joined. Therefore, the level of se U quence similarity between the members of each cluster was analyzed, and clusters that seemed to contain two or more COGs were splic.

## Phylogenetic and Functional Patterns in COGs

The described analysis resulted in 710 ap Û parent COGs. This set appears to be essen U tially complete as far as onthologous rela U tionships are concerned. Indeed, when the portion of the database of proteins from complete genomes not included in the COGs was clustered by sequence similarity (16), only 10 groups were identified, which, upon careful inspection of the alignments, were considered likely to constitute addi U tional COGs missed originally. These groups were incorporated, producing the fiÛ nal collection of 720 COGs, including 6814 proteins and distinct domains of multido U main proteins (6646 distinct gene products, or 37% of the total number of genes in the seven complete genomes) (17).

Most of the COGs are relatively small groups of proteins. Onellhird of the COGs (240 COGs with 1406 proteins) contain one representative of each of the included species (no paralogs), and 192 more COGs include paralogs from only one species, most frequently yeast (87 COGs). The mean number of proteins per COG increasÛ es with increasing number of genes in a genome, from 1.2 for M. genitalium to 2.9 for yeast. A notable aspect of many COGs is the differential behavior of paralogs it is typical that one of the paralogs, for exam ple, in yeast, shows consistently higher simU ilarity to the orthologs in all or most of the other species (Fig. 1, B and C). For numer Û ous yeast paralogs, particularly components of the translation apparatus, the underlying cause is obvious: the gene whose product is most similar to the bacterial orthologs is of mitochondrial origin (Fig. 1B). A more common explanation for the asymmetry of the relationships in the COGs, however, is that the highly conserved paralog has reÛ rained the original function, whereas the functions of the less conserved paralogs have changed in the course of evolution. In the already considered example (Fig. 1C), the symmetrical component of the graph (solid lines) delineates the conserved func U tion of the o70 subunit of the RNA polyÛ merase (E. coli RpoD), which is required for the transcription of the bulk of bacterial genes, whereas the asymmetrical BeTs (broÛ ken lines) are observed for  $\sigma$  subunits (E. coli RpoH, RpoS, and FliA. ) involved in the transcription of specialized gene subsets (18). This phenomenon appears to be widespread, as we found 549 proteins in 302





## BEST AVAILABLE CO

COGs whose corresponding paralogs showed consistently lower similarity to oth  $\hat{U}$  er members of the COG. One may think of the rapidly evolving paralogs as progenitors of new families emerging from within the conserved ones. The COGs will be an im  $\hat{U}$  portant resource in a systematic survey of the functional diversification of paralogs in conserved gene families.

There are several large clusters in the current collection with complex relation U ships between members. Two of these, namely the adenosine triphosphatase (ATÛ Pase) components of ABC transporters and histidine kinases, each include over 100 members. It is likely that subsequent  $de\hat{U}$ railed analysis of these large groups (for example, by phylogenetic tree methods) will result in their split into several distinct COGs, especially when more genomes are available. On a more general note, COGs do not supplant traditional methods of phyÛ logenetic analysis but rather provide the appropriate starting material for these methods, in particular for a systematic anal U ysis of phylogenetic tree topology.

Figure 2 shows the breakdown of the COGs by broadly defined function (19) and by species (20). For the majority of the COGs, the protein function is either known from direct experiments, mainly in E. coli or yeast, or can be confidently inferred on the basis of significant sequence similarity to functionally characterized proteins from other species. It has to be emphasized that construction of the COGs includes autoÛ matic prediction of the function for numer  $\hat{U}$ ous genes, particularly from the poorly charU acterized genomes such as M. jannaschii. There is, however, a substantial fraction of the COGs (14%) for which only general functional prediction, typically of biochem U ical activity, but not the actual cellular role could be made, and for another 5%, there was no functional clue (Fig. 3). Each of the COGs includes proteins from at least three major clades whose divergence time is estiU mated to be over a billion years (21), that is, they all are ancient, conserved families with important, if not necessarily essential, cellular functions. Therefore, the proteins belonging to the "mysterious" COGs are good candidates for directed experimental studies.

The distribution of proteins from differ  $\hat{U}$  ent species in the COGs shows several trends (Fig. 2), although the bias in the current collection of complete genomes (in particular, because three lineages are re $\hat{U}$  quired to form a COG, all COGs had to have a bacterial member) must be taken into account when interpreting these com $\hat{U}$  parisons. The fraction of proteins belonging to COGs is greatest in the nearly minimal genomes of mycoplasmas (70% for M. geni-

talium) and much lower in the larger geÜ nomes of E. coli and yeast (40% and 26%, respectively), which indeed is the tendency expected of conserved families presumably associated with cellular housekeeping funcU tions. The genes of the pathogenic bacteria (H. influenzae and two mycoplasmas) are essentially subsets of the two larger bacterial gene complements, E. coli and Synechocystis sp. The latter two species almost always collectur in the COGs. The main cause of the observed congruency is likely to be the conservation of the core of ancestral bacteÛ rial genes in nonparasitic species from difU ferent major clades. Accordingly, the fact that proteins from the pathogenic bacteria are missing in many COGs most likely resU tifies to gene loss, which has been extensive

even in this subset of highly conserved genes. The collecturence of M. jannaschii in a COG with E. coli or Synechocyssis is measurably more frequent than that with yeast (Fig. 2). Such a distribution of the archaeal genes appears to be due primarily to the blending of bacterial like and eukary O oticlike genes in the archaeal genomes (10), although the mentioned bias in the genome collection is also a factor.

The phylogenetic distribution of the COG members is distinct for different funcûtional classes (Fig. 2). It is not unexpected that translation is the only category in which ubiquitous COGs are predominant. Another obvious rend is the absence of proteins from pathogenic bacteria (H. influenza: and, parûticularly, the mycoplasmas) in many COGs

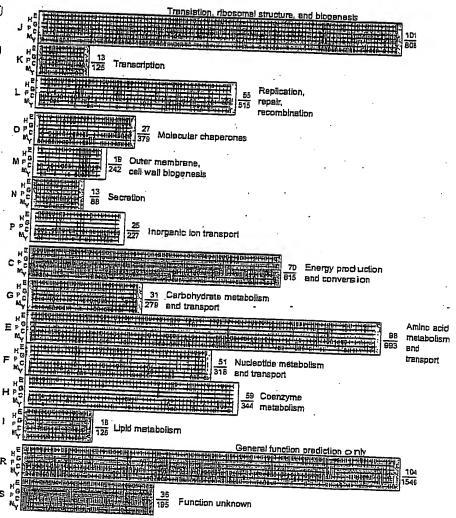


Fig. 2. A functional and phylogenetic breakdown of the COGs. E indicates *E. co Ii*; H, *H. influenzae*; G, *M. genitalium*; P, *M. pneumoniae*; C, *Synechocystis* sp.; M, *M. jennaschii*; and Y, S. cerevisiae. Each column shows a COG; a double streak indicates that two or more paralogs from the given species belong to the particular COG. The number of COGs (numerator) and the number of proteins in them (denominator) is indicated for each functional category. Capital letters in the left most field encode the functional categories (used in the COG IDs).

# BEST AVAILABLE COPY

in each functional category other than trans U lation and transcription, but especially in the metabolic functional classes. Conversely, the congruence between the two nonparasitic bacteria, E. coli and Synechocystis sp., holds for all functional classes (Fig. 2). Also appar U ent is the differential appearance of archaeal proteins that tend to group with yeast proU teins in the translation and transcription classes (which, given the bias in the genome collection, results in ubiquitous COGs) but in all other functional classes are frequently found in COGs with bacterial proteins only.

The phylogenetic distribution of COG membership can be conveniently presented in terms of "phylogenetic patterns," which show the presence or absence of each analyzed speU cies (Fig. 3). Of the 88 patterns that include at least three lineages (the definition of a COG), 36 were actually found. Missing were mostly parrems with only one of the two species of Mycoplasma, which was predictable because the gene complement of M. genitalium is es U sentially a subset of the M. pneumoniae com Û plement (22). The remaining eight patterns that were never observed all include pathoû genic bacteria without E. coli, which is the largest and most diverse of the available bac U terial genomes. The two most abundant patU terns could easily be predicted: all species ("ehgpcmy"), and all species except for the mycoplasmas ("eh\_cmy"). What appears much less trivial is that these patterns togeth U er encompass only one whird of all COGs. This fact emphasizes the remarkable fluidity of genomes in evolution, revealed in spite of the fact that the analysis concentrated on ancient conserved families. Multiple solutions for the same important cellular function ap Û pear to be a rule rather than an exception, at least when phylogenetically distant species are considered (10, 23). On the other hand, the eight most frequent patterns, which together account for 85% of the COGs, all include both E. coli and Synechocystis, emphasizing the congruency between these genomes.

The 114 ubiquirous COGs, most of them including components of the translation and transcription machinery, form the universal core of life. This set is more than twofold down from the bacterial. "minimal set" con U sisting of 256 genes (23), but significant further erosion seems unlikely, given the broad spectrum of compared genomes.

The higher order distribution of the COGs by the three domains of life, with only 45% of the COGs including represen Utatives of Bacteria, Archaea, and Eukarya, is another manifestation of the dynamics of gene families in evolution (Fig. 3). The picture is expected to become even more complex, and the fraction of three Gomain COGs will probably drop, once archaeal Only, eukaryotic Golly, and archaeal Golly karyotic COGs emerge with the accumula Ution of genome sequences.

The unusual, rare patterns are of partic U ular interest, suggesting the possibility of unexpected findings. Each of the COGs with patterns that occur only once in our current collection (Table 1) should corred spond to a unique function scattered over disconnected branches of the tree of life. Why such functions are conserved and are presumably important for survival in some but not other lineages is a challenge to be addressed experimentally. The principal evolutionary mechanisms that can be in U voked to explain the emergence of these rare patterns are differential gene loss and horizontal transfer of genes. Some of the functions involved, for example, lipoateD protein ligase and glycyl-transfer ribonucle $\hat{U}$ ase (tRNA) synthetase, appear to be strictly essential, but in different species, they are performed by two distinct sets of orthologs unrelated to one another (24). Other func tions, for example, thymidine phosphorylÛ ase and hexuronate dehydrogenases, may be dispensable under most conditions, and acU cordingly, differential gene loss is likely; it is remarkable, however, that these functions

are preserved in the nearly minimal gene complements of the mycoplasmas. Two of the unique patterns, namely "\_\_gpc\_y" and hgp\_y," might have evolved through horizontal transfer of typical eukaryotic genes into bacterial genomes. The latter pattern is of particular interest as it involves the choline kinase gene common to a  $num \hat{U}$ ber of bacrerial pathogens and implicated in pathogenicity (25). Two of the COGs with unique patterns, "h\_c\_y" and "e\_gp\_my," include highly conserved but uncharacter() ized proteins whose functions could be preÛ dicted only by detailed analysis of con U served protein motifs (Table 1). These  $ex\hat{U}$ amples demonstrate the potential for proU tein function prediction inherent in the construction of the COGs themselves.

The sampling of genomes we compared is small and biased, and when a more comulation of COGs by phylogenetic patterns is likely to change significantly; for example, many patterns that are currently rare may become common when larger genomes from the Gram positive batterial lineage (such as Bacillus subtilis) become available. Never theless, we believe that the language of phylogenetic patterns will become even more useful for the description of relation using ships between multiple genomes.

### Connecting and Expanding the COGs

Ancient families of paralogs that span a broad range of taxa are well known (26). Accordingly, a number of COGs are related to each other and can be connected into superfamilies. In order to elucidate the sulperfamily structure of the COG collection, we used the recently developed PSILBLAST (position upecific iterative BLAST) prougram, which combines BLAST search with profile analysis (27). Two COGs were confusidered connected if at least two of the proteins from the first COG hit members of the second COG in the PSIBLAST search, and vice versa. Clustering by this criterion produced 58 superfamilies including 280 COGs.

Compared to COGs themselves, the suû perfamilies are a higher level of protein classification. Typically, they include conû served motifs that are determinants of a distinct biochemical activity, which, howû ever, may be required for a variety of celluû lar functions. For example, the largest suû perfamily contains 53 COGs with 863 proû teins, all of which contain conserved motifs typical of ATPases and GTPases but are involved in a broad range of processes from DNA replication to metabolite transport (28).

Superfamilies and their signature motifs

Bacteria+Eukarya +Archaea		Bacteria+Eukarya		Bacteria+Archaea		Bacteria only	
Pattern	COGS	Pattern	COGs	Pattern	COGE	Pattern	COGs
PUT NATIONAL PARTIES AND PROPERTY OF THE PROPE	37 18 13 7 4 2 2 2 2 1	ebpy ebpy ebpy ebpy ebpy bcy bcy bpy	5 2 1 1 1 1 1 1 1	epbbm	15 3 2 2 1	eb_pc_	5 2
ab_peny ivm iDGs(%)	323 45		215		122 17	· · · · · · · · · · · · · · · · · · ·	60 B

Fig. 3. Phylogenetic patterns in COGs. Letter codes as In Fig. 2 (ignore case); an underline indicates absence of the respective species. Shading indicates the eight most frequent patterns.

ARTICLES

will be useful in classifying proteins that have evolved to an extent that they can U not be assigned to any COG but still retain a conserved motif. We sought to detect such proteins with distant, subtle similarity to COGs that might be encoded in the analyzed genomes. The PSI BLAST analysis (27) detected "tails" of distantly related proteins (a total of 3686) for 321 COGs, increasing the total number of pro U teins connected to COGs to 10,332 (58% of the entire protein set from complete genomes).

Because apparent orthologs from at least three major clades were required to form a COG, there are potential new COGs hidû den among the results of the comparison of protein sequences from complete genomes (11). Clustering by sequence similarity the proteins not included in COGs (14) resultûed in 443 groups with members from two clades. Predictably, the greatest number, 204, were from the cyanobacterial and Gramûregative clades, followed by 67 groups combining yeast and M. jannaschii.

Many of these groups are likely to become COGs once additional genomes are includ0 ed in the analysis.

## Prediction of Protein Functions with the COG System

The COG system allows automatic funcU tional and phylogenetic annotation of genes and gene sets (29). As in the proceU dure used for the construction of the COGs, the criterion for adding likely orthologs from other genomes to the COGs is based on the consistency between the observed relationships. A protein is compared to the database of protein sequences from comU plete genomes (11) and is included in a COG if at least two BeTs fall into it. Given that the COGs were constructed from proU teins encoded in complete genomes, it is not a requirement that newly included proteins also originate from a complete geU nome. Indeed, while the unsequenced por U tion of a genome may encode proteins with the highest similarity to those included in

COGs, the BeTs will not change for the products of already sequenced genes.

As a demonstration of the principle coupled with additional characterization of the COGs themselves, the sequences of proteins with known threeldimensional structures from the PDB database (30) were compared to the protein sequences encoded in complete genomes. The "two BeT" procedure resulted in proteins with known threeldimensional structure being included in 183 COGs, of which one was shown to be a fake positive by subsequent alignment analysis. Thus, structural infor U mation could be inferred for at least 25% of the COGs. In most cases, the structurU ally characterized protein (from E. coli or yeast) actually belongs to a COG or is a closely related homolog of the proteins forming a COG.

Some of the predictions, however, proûvide significant functional and structural inferences. Of particular interest are (i) the possibility of modeling the nuclease domain of polyadenylate cleavage factors

Table 1. Unique phylogenetic patterns among COGs. The pattern designations are as in Fig. 3; each COG ID includes a letter indicating the functional category, to which the constituent proteins belong (Fig. 2).

•		1 (1 1g. Z).	•
Pattern and COG ID	Proteins	Activity or function	Comment
e_gp_m_ COG0213F epy COG0246G	DeoA-MG051-MP090- MJ0667 MtlD, UxaB, UxuB, Ydfi, YelQ-MP190-YEL070w, YNR073c	Thymidine phosphorylase; salvage of deoxypyrimidines Mannitol-1-phosphate and other hexuronate dehydrogenases; hexuronate catabolism	Nonessential gene in <i>E. coli</i> ; apparent orthologs found in other Gram-positive bacteria and in humans (35).  Nonessential genes in <i>E. coli</i> ; accessory reactions of carbohydrate metabolism (36).
e_gpy COGOD95H	LpIA-MG270-MP450- (sll0809)-YJL046w	Lipoate-protein ligase A; ligation of lipoate to apoproteins of pyruvate dehydrogenase and other lipoate-dependent enzymes	There are two unrelated classes of lipoate-protein igases; E. coli and yeast encode both forms; H. influenzee and Synechocystis sp. encode the B form (included in a separate COG); sli0809 is a distant homolog of the A form (37), which was not automatically included in the
eh_pc_y COG0604R	AdhC + 18 <i>E. coli</i> proteins-MP278-sil0990, sir1192-YBR046c + 19 yeast proteins	Alcohol dehydrogenase class III and related Fe-S dehydrogenases; various catabolic pathways	COG but was detected with PSI-BLAST.  Highly conserved protein family distinct from other Fe-S oxidoreductases.
_h_c_y COG0578R _gpc_y COG0531R	HIN1693_1-sII1621- YLR109w MG108-MP586-sII1771- sII1033-sII0602-YDL006w	Glutaredoxin-like membrane protein (prediction) Protein serine and threonine phosphatase	The H. influenzae protein contains an additional thioredoxin-like domain.  Serine and threonine protein phosphatases are abundant in eukaryotes but not in bacteria (38).
_gp_my COG0423J	+ 6 yeast proteins MG251-MP483-MJ0228- YPR081c, YBR121c	Glycyl-tRNA synthetase (sukaryotic and Gram-positive type)	Gram-negative bacteria and Synecho cystis encode a distinct glycyl-tRNA that appears to be unrelated to the eukaryotic and Gram-positive type; the closest relative of this COG in E. coli and H. influenzae is protyl-tRNA
e_gp_my COG0622R	b2300-MG207, MP029-MJ0623, MJ0936-YHR012w	Phosphoesterase (prediction)	synthetase (24). Highly conserved protein family that schares only modified catalytic motifs (detected by PSI-BL_AST; $P \sim 0.004$ ) with other phosphoesterases, including protein
eh_pcmy COG0078E	Argi, ArgF, YgeW-HIN0012-MP531- sll0902-MJ0881-YJL088w	Omithine carbamoytransterase; arginine biosynthesis	phosphatases.  Amino acid metabolism appears to be completely missing in M. genitalium, but residual reactions may occur in M. pneumoniae.
_hgp_y COG0510M*	HIN093B-MG356. MP310-YDR147w, YLR133w	Choline kinase (prediction) involved in lipopolysaccharide biosynthesis	Enzyme common to several bacterial pathogens and eukaryotes; contributes to pathogenicity (25).

<sup>\*</sup>This COG was added to the collection by cluster analysis.

(31) with the beta Dactamase structure, (ii) the presence of an acylphosphatase domain in hydrogenase expression factors, which form a highly conserved COG, and in a number of uncharacterized proteins, and (iii) the connection between a unique carbonic anhydrase and an acetyltrans U ferase family (Table 2).

Probably the most important applica Ution of the COGs is functional character Utiation of newly sequenced genomes. In the preliminary analysis of the recently published genome of the major human bacterial pathogen Helicobacter pylori (32), 813 proteins (51% of the gene products) from this bacterium were included in 453 pre Existing COGs and 143 new COGs (33). In spite of the fact that many H. pylori proteins are highly similar to hoU mologs from E. coli and other bacteria and

have been explored in detail (32), this analysis produced over 100 additional functional predictions (33).

#### Conclusions and Perspective

The COGs bring together the fields of comparative genomics and protein classiÛ fication. Among the numerous possible approaches to protein classification, the COGs appear to be unique as a prototype of a natural system, which has as its basic unit a group of descendants of a single ancestral gene. Typically, such a group is associated with a conserved, specific funcÛ tion, so that the inclusion of a protein in a COG automatically entails functional prediction.

Each COG contains conserved genes from at least three phylogenetically disÛ

tant clades and, accordingly, corresponds to an ancient conserved region (ACR). Previous analyses have indicated that the total number of distinct ACRs is likely to be less than 1000 (34). Thus, even with the limited number of complete genomes currently available for analysis, the COGs have already captured a substantial fracûtion of all existing highly conserved proûtein domains. With more genomes includûtional COGs should gradually level off, with the great majority of the ACRs enût coded in the added genomes fitting into already known COGs.

With the forthcoming flood of genome sequences, a coherent framework for under  $\hat{U}$  standing these genomes from both the func  $\hat{U}$  tional and evolutionary viewpoints is a must. We regard the current collection of

Table 2. Structural and functional predictions for uncharacterized proteins in COGs.

Phylogenetic pattern and COG ID*	Proteins in COG†	Activity and function	Homolog in PDB‡ -BeTs detected (no.) -Lowest P with a COG member	Comment
e_gpcmy COG0595R	PhnP, EiaC-2g-2p-5c-8m- YLR277c, YMR137c, YKR079c	Predicted Zn-dependent hydrolases	Beta-lactamase (1BMC) ·2 ·0.039	Activity is not known for any protein in this ubiquitous COG. Biochemical and genetic data indicate that YLR277C is involved in messenger RNA 3'-end processing (37), whereas YMR137c is DNA cross-link repair protein SNM1 (39). A motif including the Zn-coordinating histidines of beta-lactamase is conserved.
eh_crny COG0607R	SseA, PspE, GlpE, YibN, YbbB, YnjE, YgaP-2h-5c-MJ0052-4y	Predicted sultur- transferases	Rhodanese (1RHD, 2ORA, 1ORB) •2 •10 <sup>-41</sup>	The sulfurtransferase activity of SseA has been demonstrated (40), but the rest of the proteins in this COG have no known activity. PspE (phage shock protein), GipE (uncharacterized protein involved in glycerol metabolism), and other small proteins correspond to one of the two modanese domains.
ehgpc_y COG0596R	PIdB, MhpC, YcdJ, YnbC-HIN0065- MG020-MP132-6c- YNR064c, YKL094w	Predicted hydrolases and acyltransferases	Lipases (2LIP, 1TAH B, 1CVL). 3 8 × 10 <sup>-5</sup>	PidB is known to possess triglyceride lipase activity (44). All other proteins in the COG have not been characterized but now can be predicted to possess the α- or β-hydrolase fold.
ecm_ COG0068C	HypF-sli0322-MJ0713	Hydrogenase maturation factor	Acylphosphatase (1APS) ·2 ·2 × 10 <sup>-5</sup>	HypF is required for hydrogenase blosynthesis (42), but no blochemical activity is known. The ~100 amino acid, NH <sub>2</sub> -terrinial domain aligns with acylphosphatase, with the catalytic residues conserved, sugge sting that HypF orthologs indeed possess acylphosphatase activity. A PSI-BLAST search with this domain as the query detected five additional likely acylphosphatases, namely <i>E. coli</i> YccX and <i>M. jannaschil</i> MJ0809, MJ 0553, MJ1331, and MJ1405 (43).
ecm_ COG0863R	CalE, YrdA, YdbZ-sll1636, sll1031-MJ0304	Predicted carbonio anhydrases	Carbonic anhydrase from Methanosarcina thermophila (1THJ) 3 ·10 <sup>-29</sup>	The biochemical activity of the proteins in this COG is not known. They show not only conservation of histidine resoldue comprising the active center of this unusual carbonic anhydrase (44) but also significant similarity to acetytransferases of the is oleucine patch supertamily (45), suggesting an unexpected connection between the two types of enzymes.

<sup>&</sup>quot;The designations are as in Table 1 and Fig. 3. †2g indicates two proteins from M. genitalium, 2p indicates two proteins from M. pneumoniae, and so torth. accession is indicated in parentheses.

COGs as a crude first version of such a framework. Inclusion of additional, phyloU genetically diverse genomes and further de U velopment of the procedures used to derive and analyze COGs will hopefully result in refinement of this system, making it a solid platform for genome annotation and evoluÛ tionary genomics.

#### REFERENCES AND NOTES

- R. D. Fleischmann et al., Science 269, 496 (1995).
- 2. C. M. Fraser et al., Ibid. 270, 397 (1995); R. Himmelreich et al., Nucielo Acids Res. 24, 4420 (1996); T. Keneko et al., DNA Res. 3, 109 (1996); F. R. Blattner et al., Science 277, 1453 (1997).
- C. J. Bult et al., Science 273, 1058 (1996)
- A. Goffeau et al., Ibid. 274, 548 (1996); H. W. Mewes
- et al., Nature 387, 7 (1997). 5. C. R. Woese, Curr. Biol. 6, 1060 (1996); G. J. Olsen and C. R. Woese, Cell 89, 991 (1997); E. V. Koonin, Genome Res. 7, 418 (1997).
- 6. E. V. Koonin, A. R. Mushegian, K. E. Rudd, Curr. Biol. 6, 404 (1996); E. V. Koonin and A. R. Mushegian, Сит. Opin. Genet. Dev. 6, 757 (1996).
- 7. W. M. Pitch, Syst. Zool. 19, 99 (1970). This definition may not embrace all of the complexity of relation-ships between genes in different genomes. For example, if games A and B are paralogs encoded in genome 1, and A' and B' are their respective orthologs in genome 2, what is the appropriate description of the relationship between A and B'? They formally are not paralogs, even though a generalized definition might include such cases. Furthermore, one-to-many and many-to-many orthologous relationships evidently exist.
- B. W. M. Fitch, Philos. Trans. R. Soc. London Ser. B 349, 93 (1995).
- R. L. Tatusov et al., Curr. Blol. 6, 279 (1996). 10. E. V. Koonin, A. R. Mushegian, M. Y. Gaiperin, D. R. Walker, Mol. Microbiol. 25, 619 (1997).
- 11. The protein sequences were from the original references (1-4), with modifications (for example, tentetive correction of frame-shift errors) and additions (previously unreported predicted genes) made for £. coli (E. V. Koonin and R. L. Tatusov, unpublished observations; K. E. Rudd, personal communication), H. influenzee (9), M. genitalium and M. jannaschil (10), and S. cerevisiae (T. J. Wolfsberg and D. Lendsman, personal communication). The list of systernatic names for all E. coll genes was provided by K. Rudd, and the names for all yeast genes were provided by T. Wolfsberg and D. Landsman; the H. influenzae genes ware renamed as previously described (9); the gene names for the other species were from the original publications. The resulting protein database from complete genomes used in all compensons contained 4283 sequences from E coll, 1703 sequences from H. influenzae, 468 sequences from M. genitalium, 577 sequences from M. pneumoniae, 3168 sequences from Synechocystts sp., 1736 sequences from M. lanneschii, and 5932 sequences from S. cerevisiae, totaling 17,967

- sequences. This sequence set is available on the World Wide Web at http://www.ncbl.nlm.nlh.gov/ COG. All pairwise comparisons between these sequences were performed using the BLASTPGP progrem, which is based on an enhanced version of the BLAST algorithm and includes analysis of local alignmenta with gaps (26). Predicted colled coll regions in protein sequences were masked before the comparson using the batch version of the COILS2 program [A. Lupas, Methods Enzymol. 286, 513 (1998); D. R. Walker and E. V. Koonin, ISMB 5, 333 (1997)], and additionally, regions of low complexity were masked using the SEG program with default parameters C. Wootton and S. Federhen, Methods Enzymol. 286, 554 (1995)]. Before the detection of triangles of BeTs, paralogs were identified as those proteins from the same lineage that showed greater similarity to each other than to any protein from another ineage. For the purpose of triangle formation, paralogs were treated as a group. The algorithm further included verification that the BeTs included in a triangle formed a consistent multiple alignment; triangles that did not contain a conserved motif were disregarded.
- Although the exact solution depends on the amino acid composition and size of the particular proteins, under zero approximation, If B (from genome b) is the BeT for A (from genome a), and C (from genome c) is the BeT for B, the probability that C is the BeT for A by chance is close to 1/N, where N is the number of genes in genome c, or -0.001.
- 13. C. R. Woese, Microbiol. Rev. 51, 221 (1987); R. Overbeek, G. J. Olsen, J. Bacteriol. 176, 1 (1994); N. R. Pace, Science 276, 734 (1997). A BeT to a given clade was registered if detected in any of the constituent species, for example, in E. coll or H. Influenzee for the Gram-negative bacteria.
- H. Watenabe and J. Otsuka, Comput. Appl. Biosci. 11, 159 (1995); E. V. Koonin, R. L. Tatusov, K. E. Rudd, Methods Enzymol. 266, 295 (1995).
- 15. A schematic visual representation of the search results was used for this engines IT. L. Madden, R. L. Tatusov, J. Zhang, Methods Enzymol. 268, 131
- 16. A single-linkage clustering procedure was used with random match probability, P < 0.001, as the cutoff (14).
- 17. A searchable database of COGs is available at http:// www.ncbi.nlm.nlh.gov/COG. Each COG was assigned a unique identification number, which includes a letter for the functional category (18) and a number (see examples in Fig. 1 and Tables 1 and 2).
- 18. M. Lonetto, M. Gribskov, C. A. Gross, J. Bacteriol. 174, 3843 (1992).
- 19. The broad functional categories of proteins were as defined previously (9), except that transcription was separated from replication, recombination, and repair. This classification is a modification of the system originally developed for E. coli proteins [M. Rliey, Microbial. Rev. 57., 862 (1993)).
- 20. A partially similar representation of some of the protein families from complete genomes has been recently published [R. A. Clayton, O. White, K. A. Ketchum, J. C. Venter, Nature 387, 459 (1997)].
- 21. R. F. Doolittle, D.-F. Feng, S. Tsang, G. Chao, E. Little, Science 271, 470 (1996).

- 22. R. Himmelreich et al., Nucleic Acids Res. 25, 70-(1997).
- 23. A. R. Mushegian and E. V. Koonin, Proc. Natl. Acad. Sci. U.S.A. 93, 10268 (1995).
- 24. E. V. Koonin, A. R. Mushepian, P. Bork, Trends Genet 12, 334 (1996).
- 25. J. N. Weiser, M. Shchepetov, S. T. Chong, Intect. Immun. 65, 943 (1997).
- 26. J. P. Gogerten et al., Proc. Natl. Acad. Sci. U.S.A. 86, 6661 (1989); N. Iwabe et al., Ibid., p. 9355; J. P. Gogarten, E. Hilarlo, L. Olendzewski, in Evolution of Microbial Life, D. McL. Roberts, P. Sharp, G. Alderson, M. Collins, Eds. (Cambridge Univ. Press, Cambridge, 1996), pp. 267-292.
- S. F. Altschul et al., Nucleic Acids Res. 25, 3389 (1997). The probability of a random match, P < 0.001, was used in all PSI-BLAST searches.
- 28. J. E. Walker, M. Saraste, M. J. Runswick, N. J. Gay, EMBO J. 1, 945 (1982); A. E. Gorbelenya and E. V. Koonin, Nucleic Acids Res. 17, 8413 (1989); M. Seraste, P. R. Sibbaid, A. Wittinghofer, Trends Biochem. Sci. 15, 430 (1990).
- 29. Protein sequences can be submitted for searching against COGs at http://www.ncbl.nim.nlh.gov/ COG/cognitor.html
- F. C. Bernstein et al., J. Mol. Biol. 112, 535 (1977).
- G. Chanfreau, S. M. Noble, C. Guthrie, Science 274, 1511 (1998); A. Jenny, L. Mirwielle-Sebastia, P. J. Preker, W. Keller, Ibid. 274, 1514 (1998); G. Stumpf and H. Domdey, Ibid., p. 1517.
- J.-F. Tomb et al., Nature 388, 539 (1997).
- E. V. Koontn, R. L. Tetusov, M. Y. Galperin, M. N. Rozenov, unpublished observations.
- 34. P. Green et al., Science 259, 1711 (1993).
- 35. J. Neuhard and R. A. Kelin, in Escherichia coli and Selmonella: Cellular and Molecular Biology, F. C. Neldhardt et al., Eds. (American Society for Microbiology, Washington, DC, ed. 2, 1996), pp. 580-599.
- 36. E. C. C. Lin, Ibld., pp. 307-342.
- 37. T. W. Morris, K. E. Reed, J. E. Cronan Jr., J. Bacteriol. 177, 1 (1995).
- 38. P. Bork, N. P. Brown, H. Hegyi, J. Schultz, Protein Sci. 5, 1421 (1996).
- 39. D. Richter, E. Niegemann, M. Brendel, Mol. Gen. Genet. 231, 194 (1992); R. Wolter, W. Siede, M. Brendel, ibid. 250, 162 (1996).
- 40. H. Hame, T. Kayahara, W. Ogawa, M. Tsuda, T. Tsuchiye, *J. Biochem.* 115, 1135 (1994).
- T. Kobayashi et al., ibid. 98, 101 (1985).
- 42. A. Colbeau et al., Mol. Microbiol. 8,15 (1993).
- 43. M. N. Rozanov and E. V. Koonin, unpublished observations.
- B. E. Alber and J. G. Ferry, Proc. Natl. Acad. Sci. U.S.A. 91, 6909 (1994); C. Kisker et al., EMBO J. 15, 2323 (1996).
- 45. E. V. Koonin, Protein Sci. 4, 7 50B (1995); M. N. Rozenov and E. V. Koonin, unpublished observations
- 46. We thank A. Schaffer for modifying the PSI-BLAST program; R. Walker, H. Watanabe, and M. Rozanov for valuable help with data analysis; K. Rudd, T. Wolfsberg, and D. Landsman for unpublished data; and P. Bork, M. Galperin, M. Gelfand, A. Musheglan, P. Pevzner, M. Roytberg, M. Rozanov, and R. Walker for helpful discussions.